



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
In re patent application of

Kenji Yamauchi

Serial No.: 09/733,036

Group Art Unit: 2828

Filed: December 11, 2000

Examiner: Leung, Quyen Phan

For: SEMICONDUCTOR LASER DIODE CHIP AND ITS POSITIONING AND
MOUNTING METHOD

Honorable Commissioner of Patents
Alexandria, VA 22313-1450

REQUEST FOR CONSIDERATION OF
INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant respectfully requests that the Information Disclosure Statement submitted and filed on June 22, 2001, be officially considered of record. Attached is a copy of the Form PTO-1449 filed on June 22, 2001, as well as a copy of the date-stamped postcard. Applicant respectfully requests that the Examiner officially acknowledge the disclosed information and promptly return the initialed Form PTO-1449.

Dated: 4/22/04

McGinn & Gibb, PLLC
8321 Old Courthouse Road
Suite 200
Vienna, Virginia 22182-3817
(703) 761-4100
Customer Number: 21254

Respectfully submitted,

Sean M. McGinn, Esq.
Reg. No. 34,386



NEC136 DIV
Jmm/has

Serial Number: 091,733,036

Attorney's Post Card Filing Receipt

Papers Filed On: 6/22/01

Attorney's Docket Number: 249331/98DIV

Applicant's Name: Kenji Yamawaki
Papers Filed Herewith:

☒ Patent ☐ Trademark
Application Filing Date: 12/11/00

- ☐ Amendment
- ☐ Request for Extension of Time
- ☐ Notice of Appeal
- ☐ Appeal Brief (in triplicate)
- ☒ IDS
- ☒ 1449 Form w/ 3 Documents
- ☐ Assignment
- ☐ Recordation Cover Sheet
- ☐ CPA Request
- ☐ Reply Brief
- ☐ Priority Document(s)
- ☐ Drawing Corrections
- ☐ Issue Fee Transmittal
- ☐ Formal Drawings
- ☒ Other Japanese OA dated 5-6-01 w/ partial
- ☐ Missing Parts

Fees Filed Herewith: \$ _____
☐ Check ☒ English translation
Charge Deposit Account: _____
Hand-delivered

NEC136 DIV
Jmm/has

Serial Number: 091,733,036

Attorney's Post Card Filing Receipt

Papers Filed On: 6/22/01

Attorney's Docket Number: 249331/98DIV

Applicant's Name: Kenji Yamawaki
Papers Filed Herewith:

☒ Patent ☐ Trademark
Application Filing Date: 12/11/00

- ☐ Amendment
- ☐ Request for Extension of Time
- ☐ Notice of Appeal
- ☐ Appeal Brief (in triplicate)
- ☒ IDS
- ☒ 1449 Form w/ 3 Documents
- ☐ Assignment
- ☐ Recordation Cover Sheet
- ☐ CPA Request
- ☐ Reply Brief
- ☐ Priority Document(s)
- ☐ Drawing Corrections
- ☐ Issue Fee Transmittal
- ☐ Formal Drawings
- ☒ Other Japanese OA dated 5-6-01 w/ partial
- ☐ Missing Parts

Fees Filed Herewith: \$ _____
☐ Check ☒ English translation
Charge Deposit Account: _____
Hand-delivered

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)

249331/98 L

Application Number

09/733,036

Applicant(s)

Kenji Yamauchi

Filing Date

December 11, 2000

Group Art Unit

Not Yet Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		9-145965	06/06/97	JAPAN				✓
		10-144998	05/29/98	JAPAN				✓
		4-230050	08/19/92	JAPAN				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Japanese Office Action dated May 6, 2001, with partial English translation.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.